

Account No.

帳戶號碼

--	--	--	--	--	--	--	--



太陽國際証券有限公司
Sun International Securities Limited

Unit 1201-1204 12/F China Merchants Tower
Shun Tak Centre 168-200 Connaught Road Central HK
香港中環干諾道中 168-200 號信德中心
招商局大廈 12 樓 1201-1204 室
Tel 電話: (852) 2869-4999 Fax 傳真: (852) 2869 4998
Website 網址: www.cnin.com

Account Type 帳戶類別 Cash 現金 Margin 保證金

ACCOUNT OPENING FORM (CORPORATE ACCOUNT)

帳戶開戶表 (公司帳戶)

Application for 申請帳戶為	<input type="checkbox"/> Corporate Account 公司帳戶
	<input type="checkbox"/> Online/Electronic Trading Service 網上/電子交易服務

Please complete in BLOCK LETTERS 請用正楷書寫

Corporate Particulars 公司資料

1. Name of Company			2. 公司中文名稱		
3. Place of Incorporation 成立地點	4. Date of Incorporation 成立日期	5. CI No. 公司註冊登記號碼	6. BR No. 商業登記號碼		
7. Registered Office 註冊地址					
8. Correspondence Address 通訊地址 (If different to registered office 如有別於註冊地址)					
9. E-mail Address (1) 電郵地址 (1)			10. E-mail Address (2) 電郵地址 (2)		
11. Industry /Nature of Business 行業/業務性質	12. Phone No. 公司電話		13. Fax No. 公司傳真		
14. Does your ordinary business involve the acquisition, disposal or holding of shares and debentures, whether as principal or agent? 客戶的通常業務是否涉及股票及債券的買賣及或持有,不論以主事人或代理人身份? <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否					
15. Authorized Share Capital 法定股本 Amount 總金額 No. of Shares 股數 Par Value 每股面值 / /			16. Issued Share Capital 已發行股本 Amount 總金額 No. of Shares 股數 Fully/Partially Paid / /		

Financial Information 財務資料

17. Latest annual net profit after tax (HKD) 最新年度稅後純利 (港元) Year 年度 _____ Profit 溢 HK\$ _____	18. Net asset based on the latest audited account(s) (HKD) 最近期經核數師作實之淨資產值(港元) _____
19. Do you own any property/real estate? 客戶是否擁有任何資產/物業? <input type="checkbox"/> No 沒有 <input type="checkbox"/> Yes 有 Details are 詳為: _____ <input type="checkbox"/> With financing 有借貸 <input type="checkbox"/> Without financing 沒有借貸	

Investment Profile 投資概況

20. Investment Objective 投資目的(Can choose more than one item 可選多項) <input type="checkbox"/> Dividend Income 股息收入 <input type="checkbox"/> Long Term Gain 長線增值 <input type="checkbox"/> Speculative Gain 投機增值 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Others 其他				
21. Investment Experience 投資經驗 <input type="checkbox"/> Securities 證券 <input type="checkbox"/> Future/Options 期貨/期權 <input type="checkbox"/> Forex 外匯 <input type="checkbox"/> Others 其他		22. Investment Years 投資年期 <input type="checkbox"/> No Experience 未有經驗 <input type="checkbox"/> 1-5 Years 年 <input type="checkbox"/> 5-10 Years 年 <input type="checkbox"/> >10 Years 年		

Account No.

帳戶號碼

--	--	--	--	--	--	--	--	--	--

23. Risk Tolerance 可承受風險

 Low 低風險 Medium 中等風險 High 高風險**Correspondence Address 通訊地址**

24. All correspondence including Statements of Accounts are to be sent to (Choose one only 祇可選擇一種)

 E-mail Address 電郵地址 Office Address 公司地址 Correspondence Address 通訊地址**Settlement Account Information 結算帳戶資料**

25. Custodian or Bank Name 保管處或銀行名稱

26. Account No. 銀行帳戶號碼

27. Directors / Major Shareholders' Information (of 10% or above shareholding) 公司董事 / 主要股東資料*

Name	ID/Passport No. 身份證/護照號碼	Phone No. 電話號碼
Residential Address 住址		<input type="checkbox"/> Director 董事 <input type="checkbox"/> Shareholder 持股 _____ %
Name	ID/Passport No. 身份證/護照號碼	Phone No. 電話號碼
Residential Address 住址		<input type="checkbox"/> Director 董事 <input type="checkbox"/> Shareholder 持股 _____ %
Name	ID/Passport No. 身份證/護照號碼	Phone No. 電話號碼
Residential Address 住址		<input type="checkbox"/> Director 董事 <input type="checkbox"/> Shareholder 持股 _____ %
Name	ID/Passport No. 身份證/護照號碼	Phone No. 電話號碼
Residential Address 住址		<input type="checkbox"/> Director 董事 <input type="checkbox"/> Shareholder 持股 _____ %

28. Ultimate Beneficial Owner(s) of the Account 帳戶的最終受益人仕*

Name	ID/Passport No. 身份證/護照號碼	Phone No. 電話號碼
Address 地址		Shareholding Ratio 持股比例 _____ %
Name	ID/Passport No. 身份證/護照號碼	Phone No. 電話號碼
Address 地址		Shareholding Ratio 持股比例 _____ %
Name	ID/Passport No. 身份證/護照號碼	Phone No. 電話號碼
Address 地址		Shareholding Ratio 持股比例 _____ %

Account No.

帳戶號碼

--	--	--	--	--	--	--	--	--	--

29. Authorised Dealing Operator(s) 授權交易人仕

The dealing instruction of the Account can be given orally or in writing by any _____ of the following :

本帳戶可根據下列名單中，_____ (位) 獲授權人任*獨立/共同發出口頭或書面交易指示：

Authorized Person's Name 獲授權代理人姓名	ID Card /Passport No. & Issuing Country 身份證/護照號碼及發出國家	Phone No. 電話號碼	Specimen Signature 簽名樣本

30. Authorised Settlement Operator(s) 授權結算人仕

The settlement instruction of the Account can be given by any _____ of the following :

本帳戶可根據下列名單中，_____ (位) 獲授權人任*獨立/共同發出結算指示：

Authorized Person's Name 獲授權代理人姓名	ID Card /Passport No. & Issuing Country 身份證/護照號碼及發出國家	Phone No. 電話號碼	Specimen Signature 簽名樣本

Specimen Signature of the Company Chop
客戶公司蓋章式樣**Declaration 聲明**

30. Do you have any relationship with any director or employee of the Company? 客戶是否與本公司任何董事或職員有任何關係?

 No 沒有 Yes 有 (Please Provide Details 請提供下列資料)

Name of Director/Employee 董事或職員之姓名

Relationship 關係

31. Are you a member or participant registered with any stock or commodities exchange or are you regulated or supervised by any government or regulatory agency? 客戶是否任何股票或期貨交易所的註冊會員或參與者或受任何政府或監管機構所規管或監管?

 No 不是 Yes 是 (Please provide details 請提供資料_____)

32. Are your director(s) or employee(s) an Exchange Participant of SEHK or a registered person(s) of SFC?

客戶的董事或員工是否香港聯合交易所參與者或證監會的註冊人仕?

 No 不是 Yes 是 (Please provide the name of Exchange Participant/Registered Person 請提供交易所參與者/註冊人名稱_____)

33. Are you, your director or shareholder in control of 35% or more of the voting right of any client account of the Company?

客戶或客戶的董事或股東是否擁有本公司的任何帳戶 35%或以上的投票權?

 No 不是 Yes 是 (Please provide details 請提供資料_____)

Account No.

帳戶號碼

--	--	--	--	--	--	--	--

Client Acknowledgement and Declaration 客戶確認及聲明

In consideration of your agreeing at our request to open and maintain a *securities trading cash account / a securities trading margin account (each a "Corporate Account"):

1. We, the undersigned Corporate Client of which particulars are specified in this Account Opening Form, acknowledge receipt of the *Securities Trading Cash Client Agreement / Securities Trading Margin Client Agreement and/or Online Electronic Trading Services Agreement (the "relevant Agreement(s)").
2. We confirm that we have read and fully understand and agree to be bound by all the terms and conditions of the relevant Agreement(s), which you may amend from time to time, applicable to us with regard to the type of Securities Account opened by us and the method of placing orders selected by us.
3. We hereby declare that the information given by us in this Account Opening Form (Corporate Account) is true, complete and accurate. You are entitled to rely fully on such information and representations for all purposes, unless notice in writing of any change is received by you from us. You are authorised at any time to contact anyone, including our bankers, brokers or any credit agency, for purposes of verifying the information provided in this Account Opening Form (Corporate Account).
4. If we have both a cash securities trading account and a margin securities trading account, we agree that unless we give you prior notification in advance in respect of each transaction, all transactions contemplated under the relevant Agreement shall be effected through the margin securities trading account.
5. We acknowledge and confirm that we have been provided with the Risk Disclosure Statement in language of our choice (English or Chinese) and we have been invited to read the Risk Disclosure Statement, to ask questions and take independent advice if we wish.

因應貴公司同意按本公司的要求開立及維持 *現金證券交易帳戶及/保證金證券交易帳戶 (各稱為「公司帳戶」) :

1. 本公司·下述簽署公司客戶·確認收受 *證券交易現金客戶協議書/證券交易保證金客戶協議書及/或網上/電子交易服務協議書("有關協議書") 。
2. 本公司確認已閱讀及明白本公司會受到適用於本公司開立的證券帳戶類別及所選的落盤方式的有關協議書·目前所載及經不時修訂的條款及細則所約束。
3. 本公司聲明本帳戶開戶表 (公司帳戶) 的資料均屬真實、完整及正確。貴公司完全可以為任何目的依賴這些資料及陳述·除非貴公司收到任何本公司資料改變的書面通知。本公司授權貴公司在任何時間聯絡任何人·包括本公司的銀行、經紀或任何信貸機構·藉以確定及查帳戶開戶表 (公司帳戶) 的資料。
4. 倘若本公司同時擁有現金證券交易帳戶及保證金證券交易帳戶·本公司同意·除非本公司就每宗交易事先通知貴公司·否則有關協議書下預期的一切交易應透過保證金證券交易帳戶進行。
5. 本公司確認貴公司已按照本公司選擇的語言 (中文或英文) 提供風險披露聲明書·及已獲邀閱讀該風險披露聲明書、提出問題及徵求獨立的意見 (如本公司有此意願) 。

<p>_____</p> <p>Authorized Signature(s) and Company Chop 授權簽署及公司印章</p> <p>_____</p> <p>Professional/Title 所屬專業/職銜</p>	<p>_____</p> <p>Name of Signer 簽署人姓名</p> <p>_____</p> <p>Date 日期 (dd/mm/yyyy,日/月/年)</p>
---	---

Account No.

帳戶號碼

--	--	--	--	--	--	--	--

FOR OFFICIAL USE ONLY 以下由太陽國際證券有限公司填寫

I, being a licensed representative of Sun International Securities Limited, hereby declare and confirm that I have provided the *Securities Trading Cash Client Account / Securities Trading Margin Client Account and Risk Disclosure Statement and/or Online Electronic Trading Services Agreement in a language of the Client's choice (English or Chinese) and have invited the Client(s) to read the *Securities Trading Cash Client Account / Securities Trading Margin Client Account and Risk Disclosure Statement and/or Online Electronic Trading Services Agreement, ask questions and take independent advice (if the Client wishes).

本人為太陽國際證券有限公司的註冊代表，並謹此聲明及確認本人已按照上述客戶所選擇的語言（中文或英文）提供 *證券交易現金客戶協議書/證券交易保證金客戶協議書及風險披露聲明及/或網上/電子交易服務協議書，並已邀請客戶閱讀該 *證券交易現金客戶協議書/證券交易保證金客戶協議書及風險披露聲明及/或網上/電子交易服務協議書，並提出問題及徵求獨立的意見（如客戶有此意願）。

The above Corporate Client's signature(s) was/were made in my/our presence (Note 1).

以上公司客戶簽署乃於本人面前簽立（備註 1）。

Signature of Licensed Representative

公司註冊代表簽署

Name and CE No. of Licensed Representative

公司註冊代表的姓名及中央號碼

Date (dd /mm /yyyy)

日期(日/月/年)

APPROVED AND ACCEPTED BY 太陽國際證券有限公司批核及接納

Authorised Signature 獲授權代表簽署

Name of Approving Officer of the Company

太陽國際證券有限公司批核主任名稱

Date (dd /mm /yyyy)

日期(日/月/年)

#Notes 備註:

1. If this Form is not executed by the Client in front of an employee or account executive of Sun International Securities Limited, this Form must be signed and certified by any other licensed or registered person, an affiliate of the Company, a Justice of Peace, or a professional person such as a branch manager of a bank, a certified public accountant, a lawyer or a notary public.

如此開戶表格並非在太陽國際證券有限公司的僱員或持牌經紀面前簽署，客戶必須在其他持牌人或註冊人、太平紳士、銀行分行經理、執業會計師、律師或公證人簽署及加以驗證。

*Delete if not applicable. 請刪去不適用者。